We thank all the reviewers for their constructive comments and useful suggestions. 1

#### Q (R1): "Comparison with other methods like encoder" & "why do we need this technique" 2

A: This is a very important point that we need to clarify in our paper. GD inversion is not a straw man here: almost all 3

- the prior work on using generative models for solving inverse problems (see reference [3,4,10-14] in our paper) uses 4
- gradient descent as the main inversion technique so improving upon that is significant. There are also methods that 5
- train encoders or even end-to-end reconstruction methods from measurements, but are harder to train and are tuned to a 6
- specific inverse problem as opposed to a general method, see [3]. We will expand on this in the paper. 7
- As compared to GD-based methods, our algorithm is much more efficient. GD costs on average 1.2 minutes to compress 8
- one single image (momentum makes the process faster but still slower than our method). While our algorithm takes 9
- only 0.5 second. See appendix for time comparisons. 10
- We have compared the performance of GD with our method under different network architectures, i.e. different levels 11 of expansiveness. 12
- Our paper focuses on fundamental theoretical results, since there are very few in this area. In practice our technique can 13
- also be used as a good initialization for gradient-based methods. We verified this for DCGAN. See reply for R3. 14

Q (R1): On reductions \*from\* known NP-hard problem A: You are correct, of course. We fixed the confusing 15 expression. 16

#### Q (R1): whether the binary case is representative for general case 17

A: Thank you for your comment. We were able to extend our proof from binary to general real-valued inputs. We 18

- achieve this by constraining an intermediate layer to be binary, using an additional output that enforces an intermediate 19
- layer to have binary values. Combining this with our previous argument establishes that it is NP-hard to invert a 4-layer 20 network with real-valued inputs. 21
- 22
- Specifically, we design a network  $f : \mathbb{R}^k \to \mathbb{R}^2$  as follows: After input layer  $\mathbf{z} \in \mathbb{R}^k$ , we add 2 ReLU layers to make sure the output of second hidden layer  $\mathbf{u} \in [-1, 1]$ , i.e.  $\mathbf{u} = \min\{\max\{\mathbf{z}, -1\}, 1\}$ .<sup>1</sup> Afterwards, we copy the entire 23
- network we used for the binary proof to layer 3 (the original m hidden nodes as layer 3's first m nodes) and to the 24
- output layer o (the original scalar output as the first observation  $o_1$ ) but add 2 more nodes to layer 3 and one node for 25
- output. The previous binary argument makes sure that if u has to be binary, we could solve 3SAT. Meanwhile, we let 26
- the two additional nodes on layer 3 to be  $a = \sum_{i} \max\{u_i, 0\}$  and  $b = \sum_{i} \min\{u_i, 0\}$  and the second observation  $o_2$  to be a + b, which actually satisfies  $a + b \equiv \sum_{i} |u_i|$ . At inference time, we let this node  $o_2$  to be equal to k. In this way 27
- 28

to test for exact recovery,  $\sum_{i=1}^{k} |u_i| = k$  and one has to let each  $u_i$  to be +1 or -1. 29

Therefore we show that for a 4-layer real network, it is NP-hard to determine if it could be exactly recovered for a given 30 observation. 31

## Q (R2): Comparison with 'invertibility of convolutional neural networks' or other RIP properties 32

A: We will add the discussion with (Gilbert et al.) and (Bourrier et al.) as suggested. Our work is substantially different 33

- from (Gilbert et al.) since they still work on linear mappings (convolutional layers without activation functions), while 34 our work is targeting ReLU or LeakyReLU activations. 35
- Thank you for the pointer of  $l_{\infty}$ -RIP property. Typically RIP is for fat matrices with structured input while we deal with 36

tall matrices. Also we only need the lower bound side of the RIP, so we redefined the condition in the paper. But indeed 37

the transpose of the weight matrices should satisfy lower bound side of  $l_{\infty}$ -RIP. We will add the discussions properly in 38

the revised version. 39

## Q (R2): the hypothesis that $m_i$ coordinates need to be bounded away from zero is not natural 40

A: For the  $l_{\infty}$  case, we have shown that for random matrices, we do not explicitly need this requirement, as shown 41

- in Corollary 1. When the network is expansive and with random weights, there will be enough mass on the positive 42
- observations with high probability. 43

# Q (R3): Additional Experiments on DCGAN: 44

Thank you for your suggestion. We trained a DCGAN architecture using MNIST data. We used 3 convolutional layers 45 with ReLU activations that are expanding and the last layer being convolutional with sigmoid activation. We used 46 projected gradient descent (PGD, gradient descent on the last layer, and projection over the first 3 layers) for a denoising 47 task. We use our algorithm as an initialization for the projection step. Over multiple runs, we compare inversion using 48 1) GD, 2) GD with momentum, 3) PGD with random initialization (for the projection step), and 4) PGD with our 49 scheme for initialization. The average relative error for each method was: 1) 0.26, 2) 0.25, 3) 0.17, and 4) 0.088. This 50 additional experiment shows the benefits of our method for convolutional architectures. We will include more details in 51

the final paper. 52

<sup>&</sup>lt;sup>1</sup>Here max{z, -1} could be achieved through ReLU(z + 1) - 1, and similarly for the min operation.