

1 We thank the reviewers for their insightful comments and suggestions. We respond to the major concerns below and
2 will incorporate all comments in the next revision.

3 **Summary of contributions** We presented a novel theoretical and empirical study of the gradient dynamics of overpa-
4 rameterized shallow ReLU networks trained with a least-squares loss. Our results are valid both in the finite and infinite
5 width functional settings. We distinguish two extremal regimes in terms of generalization behavior: “adaptive” and
6 “kernel”. The effect of each regime can be quantified in terms of a conserved quantity which depends on the initialization
7 and on the scaling as the number of neurons grows large. In the kernel regime, the training problem converges to a
8 kernel regression over a Sobolev space $\mathcal{H}^{2,2}$ as the number of neurons approaches infinity. Furthermore, in 1D, under
9 mild technical assumptions, the kernel case reduces to cubic spline interpolation. In the mean-field limit, the adaptive
10 regime with regularization converges to regression in $\mathcal{H}^{1,2}$, yielding linear splines with knots at the samples. For a finite
11 number of neurons, our presentation of the adaptive regime is qualitative. We note that dynamics are fully determined
12 by the residuals and the velocity field induced by gradient flow always pushes neurons towards the samples. For finite
13 neurons, we observe solutions which adapt to the input data with knots converging at samples.

14 **Analysis of the adaptive regime (R2, R3)** Our results on the adaptive regime reinterpret those appearing in [Maennel
15 et al.] and [Savarese et al.] in the framework of mean-field analysis. The functional representation in terms of linear
16 splines is established in the limit of infinite width (by combining [Savarese et al.] with [Chizat and Bach NeurIPS’18])
17 under appropriate initial conditions and using TV regularisation. Our analysis in the adaptive regime for finite neurons
18 is thus qualitative, but we believe it clarifies the role of initialization and parametrization. Rigorously quantifying the
19 effect of having a finite number of neurons is an important next step, as is the extension to other neural architectures.
20 We highlight however that our main technical contribution in this work is to rigorously establish the implicit bias in
21 the kernel regime in terms of cubic splines, for generic parameter initializations. We therefore provide one of the first
22 instances of an explicit distinction between the “adaptive” and the “kernel” regimes in terms of generalization: formally,
23 we can show that kernel training converges to a kernel regression in $\mathcal{H}^{2,2}$ and, following [Chizat and Bach NeurIPS’18],
24 that adaptive training in the mean-field limit converges to a regularised regression in a Sobolev space $\mathcal{H}^{1,2}$. If the paper
25 is accepted, we will emphasize these technical contributions.

26 **Insights into higher dimensional inputs (R1)** The statements and formulation in the paper generalize to higher
27 dimensional inputs, however they do not paint a complete picture of the dynamics in this setting. For higher dimensional
28 full parameters ($\mathbf{a} \in \mathbb{R}^{m \times p}$, $\mathbf{b} \in \mathbb{R}^m$, $\mathbf{c} \in \mathbb{R}^m$) representing $f_{\mathbf{z}} : \mathbb{R}^p \rightarrow \mathbb{R}$, the associated reduced parameters can be
29 viewed as spherical coordinates identifying each neuron with a unit-norm vector $\|d(\theta_i)\| = 1$ in \mathbb{R}^{p+1} and a radius
30 $r_i = c_i \|(\mathbf{a}_i, b_i)\|_2$.

31 In higher dimensions, the samples correspond to hyperplanes in phase space, and the possible configurations of attractors
32 and repulsors become more complex. For example, when reduced neurons lie on one of the attractor hyperplanes,
33 they follow dynamics in the lower dimensional subspace. The difficulty with the analysis in higher dimensions is
34 that it involves the combinatorics of arrangements of hyperplanes corresponding to the sample points. We leave full
35 categorization of these dynamics to future work, however we were able to verify experimentally that the dynamics in
36 higher dimensions are qualitatively very similar to the 1D case, leading to concentration of neurons in the adaptive
37 regime and smooth interpolants in the kernel regime. If the paper is accepted, we will include these experimental results.

38 **Definition of linear splines (R2, R3)** We will give a formal definition of adaptive linear splines in the next revision of
39 the paper: A linear spline is a piecewise linear function $\varphi : \mathbb{R} \rightarrow \mathbb{R}$ whose knots $e_i \in \mathbb{R}$, $i = 1 \dots m$ are the boundaries
40 between pieces. We say that the spline is adaptive if the knots are also variable, i.e., if the function can be written
41 as $\varphi(x', e_1, \dots, e_m) : \mathbb{R} \times \mathbb{R}^m \rightarrow \mathbb{R}$. Alternatively, we can view adaptive linear splines in the functional setting as
42 functions $\varphi : \mathbb{R} \rightarrow \mathbb{R}$ which interpolate the data points and minimize $\|\varphi\|_{\mathcal{H}^{1,2}} := \int |\varphi''(u)| du$.

43 **Kernel learning for polynomially wide networks (R1)** We were not aware of these results for polynomially wide
44 networks, and will cite them in revised version of the paper. These, in fact, seem complementary to our results which
45 demonstrate that for increasing width, the dynamics rapidly approach the kernel regime. In the revision, we will include
46 an experiment demonstrating results for varying finite widths.

47 **Missing citations (R3)** The missing citations pointed out by the reviewer are relevant and will be addressed in the
48 next revision. In particular we believe our work is complementary to “A Convergence Theory for Deep Learning via
49 Over-Parameterization” since we can quantify for both a finite and infinite number of neurons how much the dynamics
50 behave like the kernel regime versus the adaptive regime by considering δ and m in Equation (21).

51 **Presentation of the results (R3)** We have prepared a revised version of the paper where our results are presented
52 more rigorously. In particular, we have improved Proposition 4 and formalized our discussion relating the RKHS
53 norm with linearized curvature. In the adaptive setting, in addition to the infinite width analysis, we have clarified the
54 qualitative description of the dynamics with finite neurons and added experiments illustrating the role of attractive
55 samples throughout the training process (in 1D and in higher dimensions).