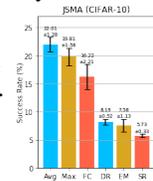


1 We thank the reviewers for their valuable feedback. The final version will resolve all the concerns raised by the reviews.

2 **[Reviewer 1]**

3 **Experiments using stronger attacks.** During the rebuttal, we experiment the robustness to JSMA  
 4 attacks with 3 random seeds. As shown in the figure on the right, our approach is the most robust (*i.e.*  
 5 the lowest attack success rates) to JSMA attacks. We expect that CapsNets would be more robust to  
 6 gradient-based attack (FGSM, BIM, JSMA) than to optimization-based attack (DeepFool, CW), which  
 7 will be further discussed in the final draft.



8 **Depth and width of the capsule layers.** We fixed the routing iterations for dynamic and EM routing at 3, which yield  
 9 the best performance in their original papers. In this work, the depth means the number of capsule layers added to the  
 10 base network, and the width is the number of capsules per layer (the same across all capsule layers). We will clarify this.

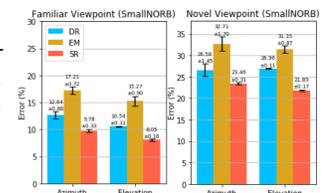
11 **[Reviewer 2]**

12 **Experimental results for ensemble behavior.** In our initial experiments, we inspected the effect of agreement-routing  
 13 by training 3-layer dynamic and EM routing models (Conv→PrimaryCaps→FCCaps) on smallINORB dataset with  
 14 no viewpoint splits. At test, we then enforced fixed, uniform routing coefficients; as a result, each capsule does not  
 15 route to its best options anymore but uniformly spreads its influence to all upper-level capsules. Surprisingly, this  
 16 enforcement barely hurt the performance (DR: 91.48% to 90.76%, EM:86.52% to 85.70%). It partly hints that the effect  
 17 of agreement-routing could be questionable but the ensemble behavior might be sufficient.

18 **Step-by-step explanation on section 4.2.** We here summarize how self-routing works step-by-step: (1) Each capsule  
 19 multiplies its pose with  $W_{pose}$  and  $W_{route}$  (learnable parameters) to compute the predicted pose changes and the routing  
 20 coefficients for the upper-level capsules, respectively. (2) Each capsule multiplies its activation output with the routing  
 21 coefficients to calculate the voting weights to the upper-level capsules. (3) We obtain the poses of upper-level capsules  
 22 by weighted-averaging the predicted pose changes of all lower-level capsules with their voting weights. (4) Finally,  
 23 we compute the activations of upper-level capsules by weighted-averaging the routing coefficients of all lower-level  
 24 capsules with their activations. In self-routing, if a capsule underperforms on some input data (*e.g.* ambiguous cases),  
 25 the optimizer learns to assign less weight to the capsule as experts are trained in the MoE setting. This process is much  
 26 easier in our method because they are directly supervised, whereas the effects of lower-level capsule activations to  
 27 upper-level ones are indirect in previous agreement-based methods.

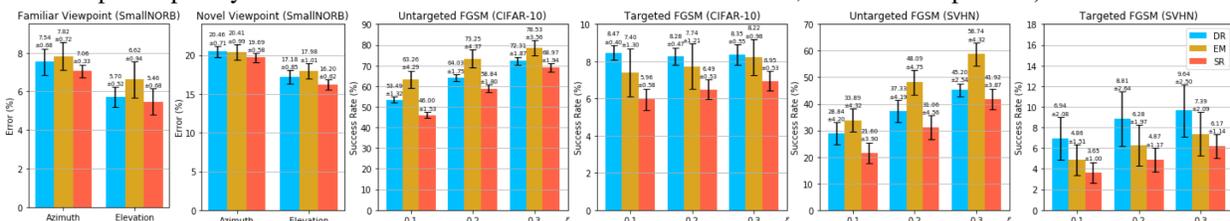
28 **Why CNN baseline.** As previous CapsNets employed shallow and weak CNN base networks, we were curious whether  
 29 the CapsNets can hold any advantage over recent deep networks (with residual connections). In our preliminary  
 30 experiments, ResNet-20 outperformed the CapsNets even in viewpoint generalization tasks (smallINORB, affNIST)  
 31 where CapsNets were supposed to be stronger. Thus, we focused on verifying that employing capsule structures could  
 32 benefit the recent CNNs. It was our anecdotal motivation to employ ResNet as our base network. In the next question,  
 33 we present the experimental results with no base network as R2 suggested.

34 **Results with no CNN base.** During the rebuttal, we perform experiments on small-  
 35 NORB viewpoint generalization tasks using a 4-layer architecture that consists of a  
 36 convolution layer followed by 3 consecutive capsule layers (primary, convolutional and  
 37 fully-connected). The primary and convolutional capsule layers consist of 16 capsules  
 38 each and each capsule contains 16 neurons. The results are shown in the figures on the  
 39 right. Each value denotes the mean of the error with 3 random seeds. Our self-routing  
 40 (SR) outperforms Dynamic and EM routing with significant margins in both tasks. It shows that using shallow feature  
 41 extractors, the previous routing techniques struggle to learn good representations.



42 **[Reviewer 3]**

43 **Error bars in the results.** We will add error bars to all the results in the final draft. As examples, we below show the  
 44 mean and standard deviations of the performance with 10 random seeds for the experiments of adversarial attacks and  
 45 novel viewpoints. Compared to other agreement-based routing CapsNets, our SR approach attains not only much lower  
 46 errors but also lower or similar variances. All hyperparameters are set to be the same as in the original paper (*e.g.* we  
 47 use 16 capsules per layer for smallINORB and 32 for CIFAR-10 and SVHN, and fix the depth as 1).



48 **Tables are too dense.** We will replace some dense tables with graphs for better readability and visibility.