We thank the reviewers for their comments and suggestions. We will incorporate the given technical suggestions in the 1

final version of the paper. Below we address the main concerns raised in the reviews. 2

Adversarial Robustness application. To avoid the widespread phenomenon of breaking allegedly robust training 3 methods shortly after their publication, we decided to further stress test our method with an assortment of adversarial

4

attacks, and found some vulnerabilities of our trained models to direct decision boundary (ddb) attacks and some 5 black-box attacks. Consequently, we restricted some of the Newton projections to be in the direction of PGD-found

6 examples. We performed extensive all vs. all PGD black-box attacks using both ddb and cross-entropy (Xent). Results 7

for MNIST are shown in Table; we log test accuracy where each column represents different attack, diagonal entries 8

- are white-box and off-diagonal are black box attacks; right column shows worst-case for each training method (rows). 9
- Note that this procedure came with the cost of a net decrease of our performance 10
- Madry for white-box attacks, however, we still remain SoTA or comparable. We will 11 Trades

update the paper accordingly (including CIFAR10 results) and tone down some 12

of the robustness claims. 13

(R1) "How well does the model converge? Is it guaranteed to find level sets through optimizing (3)?"; 14

(R3) "There is no guarantee that the iteration in Eq. 4 would successfully sample a point on the level set." 15

Newton's method is not guaranteed to find zeros of non-linear functions. Although ReLU networks do not satisfy the 16

conditions required for Newton's quadratic convergence it still works well in practice. Empirically, we applied ten 17

Newton iterations and converged to the zero level set between 80-90% of the times (manifold reconstruction and early 18

robust trainings) to 20-30% (end of robust training). Note that even when the Newton projection fails we can use it with 19

non zero c (see Eq. (9)), which is useful for manifold reconstruction. 20

(R1) "What is the practical speed of training the network due to that we have to get the level sets per iteration?" 21

When comparing training times with level set sampling phase and without we get  $\times 2$  the time for manifold reconstruction 22 and  $\times 8$  for adversarial training. 23

(R2) "Doesn't the ReLU activation imply that  $D_x F(p; \theta)$  is often = 0 at many points p?" The last layer is not 24 followed by a ReLU activation, so for  $D_x F(p;\theta)$  to be 0 you need all of the neurons from the previous fully-connected 25 layer to be on the zero-region of their respective ReLU activations. Theoretically, if all weights are i.i.d. then chances 26

this happens is 0.5 to the power of the number of neurons in previous to last layer. Empirically, this doesn't happen. 27

(R2) "It seems one can sample from level 0 set by instead just optimizing:  $\min_x ||F(x; \theta)||$  via gradient descent 28

in x. Did the authors try this procedure?" We have tried the suggested gradient descent (GD) procedure and found it 29

required two orders of magnitude more iterations than Newton projection to converge. Intuitively, the reason Newton is 30 much faster than GD for root finding is that GD linearizes the function at a point and takes a small step toward the zero 31

set, while Newton linearizes the function and goes all the way to the root of the linear function as the next step. 32

(R3) "A good distribution of points on the level set should also account 33

for local geometry, e.g., curvature, which is not addressed in the proposed 34

method.". This is indeed a good point (and a true challenge). From a practical 35

point of view we quantify the quality of distribution in low dimension (where 36

ground truth dense sampling of the level set is tractable). The table logs the Cham-37

fer and Hausdorff distances of the resulting sampling distribution and the level 38

set of a neural network trained with Xent loss in 2 dimensions where projected 39

points (red) are initialized using a uniformly distributed points (gray, right) or normally perturbed level set samples 40 (gray, left). 41

42 (R3) "A sparse set of samples may not provide adequate control over the behavior of the entire level set." Indeed in high dimensions (i.e., not for surface and curve modeling) it would 43 be impossible to densely cover the entire level set with projections since its volume is too large. 44

However, our approach does move the entire level set in the desired manner due to the effect of 45 46

generalization that is manifested when optimizing a neural network with SGD. This is supported empirically, e.g., the inset shows the histograms of distances of MNIST test samples to their 47

projection on the zero-level set of model trained by our method (orange) and a baseline (blue). Note 48

49 that distances evaluation on the test set means sampling the level set at unseen points.

(R2) Conceptual discussion (and empirical comparison) on why the proposed approach should work better than 50

other strategies for large-margin deep. We will add a comparison with a popular large-margin deep model, namely 51

level set linearization methods (e.g., Elsayed et al. [2018]). Conceptually, for  $\|\cdot\|_2$ , this method is equivalent to working 52

in our framework with a *single* Newton iteration providing only a crude approximation to the neural level set. 53

Initialization Method Chamfer Hausdorf Uniform [-0.35,0.35] 0.01 Normal  $\sigma = 0.01$ 0.006 0.017 Normal  $\sigma = 0.05$ 0.01 0.132

ddb/Xent Xent

Our

Madry

98.6/98.6 96.1/96.3

Trades

97.9/97.9

98.6/98.6 98.5/98.5 96.9/96.7 98.8/99.2 96.9/96.7 98.6/98.5 98.2/98.3 97.8/97.7 96.7/98.6 96.7/97.7

Minimum

96.1/96.3

96 9/96 7



