We thank all reviewers for their positive comments on idea novelty, technical quality, paper writing, and promising directions. We respond to the concerns point-by-point as below.

R#1.Q1. Apart from Fig. 6, would be useful to see if syntactic/lexical (S./L.) effects are disentangled.

Following this suggestion, we provide quantitative comparisons to analyze the disentanglement of the L. and S. effects in Tab. I. After modifying L./S., we have found: (1) the word and POS sequences are both changed (ED ≠ 0 and B-1,3 ≠ 100%), which reveals the inherent correlation between L. and S., (2) the change on word/POS sequences is bigger than that of POS/word, i.e., higher ED and lower B-1,3, which indicates that the L./S. variables have more effect on the L./S., and (3) the change on POS sequences is smaller than that on word sequences, which is probably due to smaller S. (POS) vocabulary. We will add the above results and discussions in our paper to further enrich the insights.

R#1.Q2. On technical details.

For the question whether beam search is used in [28][3], common image captioning methods [28] and [3] use beam search for sampling. For the question which captions are used for computing metrics in Tab. 1, we use likelihood to sample top 5 captions of each testing image, compute their metrics, and choose the top caption for the evaluation in Tab. 1 to ensure a fair comparison. We will clarify the above details in our paper.

R#2.Q1. On the originality compared to [13] & VAE.

Thanks, we agree that our work does share certain intersection with [13] and VAEs. However, we rebut that our novelty are fundamentally sufficient comparing to [13] and VAEs, which are detailed in two aspects:

New problem formulation: Conventional encoder-decoder depends solely on sampling to import randomness [28], which limits the diversity among the outputs (see Tab. 2). VAE based encoder-decoder introduces the latent variable and makes a two-stage inference for latent variables and words, which enhances the diversity (also see Tab. 2). However, the latent variables in VAEs have a very general prior (standard Gaussians), which does not consider any domain-specific knowledge. We argue that it may waste model capacity, and one should consider the unique problem structures of image captioning instead of using the VAE as is. Therefore, we introduce the domain knowledge from NLP and decompose the latent variables into lexicon and syntax variables. This fundamental change in the problem representation is the core novelty of our approach. Under this guidance, it’s a straightforward thinking to model a structured variational inferrer with the assistance of VP-Tree [13] and the adaptation of VAE. However, we kindly argue that such assistance/adaptation is not our core contribution. One can replace VP-Tree with other visual structured representations, or use generative models other than VAE. However, in order to directly demonstrate the effectiveness of the core idea, we intentionally chose these straightforward adaptations, which help the readers directly catch our main innovation and not get distracted by the complicated adaptation.

New technical design: VP-Tree [13] can provide the lexicon/syntax probabilities, which, however, does not involve the construction and the prior/posterior inference of the latent variables (Sec. 3.2). For VAEs, though commonly used, they never consider modeling the structured latent variables with the domain-specific knowledge, as well as jointly optimizing the reconstruction and the prior/posterior distance with the structured latent variables (Sec. 3.3).

R#2.Q2. Notations involving “’” and “¨” can be replaced with sub/super-scripts ℓ and s.

Thank you for this suggestion. We will modify accordingly in our paper.

R#3.Q1. Confusion on model generalization for longer captions or paragraphs.

Thanks for this inspiring question. VarMI-tree can be easily expanded to the case with more tree nodes for long captions. Our model itself has no such limitation on caption length, and we just set the node number as 7 to cover the captions in the COCO dataset. As for image paragraph description, it is quite different from the sentence-level captioning due to different topics of sentences [A][B]. However, as long as the topic feature of each sentence can be extracted from RNN [A][B] to construct VarMI-tree, it does not restrict our model to be generalized to image paragraph description.


R#3.Q2. Reorganizing Section 3 for easier follow.

Thanks for the suggestion. We will strengthen the method overview with a table of notations and an algorithm flow.


Thanks for this suggestion. Please kindly refer to our response to Q1 of R#2, which will be added in our paper.